



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ser KATO

Appln. No.: 09/901,563

Group Art Unit: 2631

Confirmation No.: 4811

Examiner: Not Yet Assigned

Filed: July 11, 2001

For: DIGITAL BROADCAST RECEIVING APPARATUS

**SUBMISSION OF EXECUTED DECLARATION, ASSIGNMENT AND FILING FEE**

**ATTN: BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed August 27, 2001 for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find Submission of Priority Document, one (1) priority document, Submission of Formal Drawings, five (5) sheets of drawings, an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$954.00 (\$740 Government Filing Fee, \$130 Late Declaration fee, \$84 one independent claim over three) and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

The executed documents are being filed on Monday, October 29, 2001. Since the due date for filing these documents fell on a Saturday, the filing of the documents on Monday, October 29, 2001 is considered timely.

Respectfully submitted,

Darryl Mexic  
Registration No. 23,063

SUGHRUE MION, PLLC  
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Washington, D.C. 20037-3213  
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Date: October 29, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,563	07/11/2001	Sei Kato	Q65368

CONFIRMATION NO. 4811

## FORMALITIES LETTER



\*OC000000006477407\*

SUGHRUE MION ZINN MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, NW  
Washington, DC 20037-3213

Date Mailed: 08/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

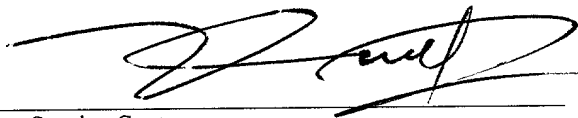
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/30/2001 MWOLDER1 00000013 09901563

01 FC:101	740.00 DP
02 FC:102	84.00 DP
03 FC:105	130.00 DP

*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to be "J. Smith", written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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